CS 591 K1: **Data Stream Processing and Analytics** Spring 2020

4/02: Elasticity policies and state migration

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Streaming applications are long-running

- Workload will change
- Conditions might change
- State is accumulated over time



: throughput



Control: When and how much to adapt?

- Detect environment changes: external workload and system performance
- Identify bottleneck operators, straggler workers, skew
- Enumerate scaling actions, predict their effects, and decide which and when to apply

Mechanism: How to apply the re-configuration?

- Allocate new resources, spawn new processes or release unused resources, safely terminate processes
- Adjust dataflow channels and network connections
- Re-partition and migrate state in a consistent manner
- Block and unblock computations to ensure result correctness





Automatic Scaling Control





The automatic scaling problem

logical dataflow



Given a logical dataflow with sources S_{1} , S_{2} , ..., S_{n} and rates λ_{1} , λ_{2} , $\ldots \lambda_n$ identify the **minimum parallelism** π_i per operator i, such that the physical dataflow can sustain all source rates.



Automatic scaling overview





Automatic scaling requirements

Accuracy

no over/under-provisioning

Stability

- no oscillations
- Performance
 - fast convergence





Scaling approaches

Metrics

- service time and waiting time per tuple and per task
- total time spent processing a tuple and all its derived results \bullet
- CPU utilization, congestion, back pressure, throughput \bullet

Policy

- Queuing theory models: for latency objectives \bullet
- Control theory models: e.g., PID controller \bullet
- Rule-based models, e.g. if CPU utilization > 70% = > scale out \bullet
- Analytical dataflow-based models \bullet

Action

- Speculative: small changes at one operator at a time
- Predictive: at-once for all operators \bullet





Queuing theory models

- **Metrics** \bullet
 - service time and waiting time per tuple and per task
 - total time spent processing a tuple and all its derived results
- Policy
 - each operator as a single-server queuing system
 - generalized Jackson networks
- Action \bullet
 - predictive, at-once for all operators



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Control theory models

- **Metrics** \bullet
 - input and output signals
 - delay of tuples that have just entered the system
- <u>Policy</u>
 - dataflow as a black-box
 - SISO models MIMO too complex
- **Action** \bullet
 - predictive, dataflow-wide





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The output signal *is* the **delay** time





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The output signal *is* the **delay** time

Performance depends on parameter selection, e.g. poles placement, sampling period, damping

Cannot identify individual **bottlenecks** neither model 2-input operators



Heuristic models

- **Metrics** lacksquare
 - externally observed coarse-grained and aggregates
 - CPU utilization, throughput, backpressure signal
- <u>Policy</u>
 - rule-based
 - If CPU utilization > 70% and backpressure then scale up
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effect of Dhalion's scaling actions in an initially under-provisioned wordcount dataflow









Which operator is the bottleneck? What if we scale o₁ x 4? How much to scale o₂?







Which operator is the bottleneck? What if we scale $o_1 \times 4$? How much to scale o₂?

























Observation Window W





Instrumentation Metrics

	O 1	O ₂
Records processed R _{pcd}	20	200
Records pushed R _{psd}	200	-
Useful time W _u	2s	1s



The DS2 model





The DS2 model

- Collect metrics per configurable observation window W
 - activity durations per worker \bullet
 - records processed **R**_{prc} and records pushed to output **R**_{psd} \bullet





The DS2 model

- Collect metrics per configurable observation window W
 - activity durations per worker
 - records processed **R**_{prc} and records pushed to output **R**_{psd}
- Capture dependencies through the dataflow graph
 - sources
 - represent as an **adjacency** matrix **A** \bullet
 - $A_{ii} = 1$ iff operator i is upstream neighbor of j \bullet

assign an increasing **sequential id** to all operators in topological order, starting from the





and **serialization** activities.

- excludes any time spent waiting on input or on output
- amounts to the time an operator instance runs for if executed in an *ideal* setting
 - when there is no waiting the useful time is equal to the **observed time**

The time spent by an operator instance in **deserialization**, **processing**,





True processing / output rates

$$\lambda_p = \frac{R_{\rm prc}}{W_u}$$

$$o_i[\lambda_p] = \sum_{k=1}^{k=p_i} \lambda_p^k$$

$$\lambda_o = \frac{R_{\rm psd}}{W_u}$$

Aggregated true processing / output rates

$$o_i[\lambda_o] = \sum_{k=1}^{k=p_i} \lambda_o^k$$



Optimal parallelism per operator

$$\pi_i = \left[\sum_{\forall j: j < i} A_{ji} \cdot o_j [\lambda_o]^* \cdot \left(\frac{o_i [\lambda_p]}{p_i}\right)^{-1}\right], n \le i < m$$







Optimal parallelism per operator

$$\cdot \left(\frac{o_i[\lambda_p]}{p_i} \right)^{-1} , n \le i < m$$








Optimal parallelism per operator

$$\cdot \left(\frac{o_i[\lambda_p]}{p_i} \right)^{-1} , n \le i$$

Aggregated true output rate of operator o_j , when o_j itself and all upstream ops are deployed with optimal parallelism



< m







Optimal parallelism per operator

$$\cdot \left(\frac{o_i[\lambda_p]}{p_i} \right)^{-1}$$

$$, n \leq i < m$$

Aggregated true output rate of operator o_j , when o_j itself and all upstream ops are deployed with optimal parallelism

current parallelism of operator i





Recursively computed as:

$$o_{j}[\lambda_{o}]^{*} = \begin{cases} o_{j}[\lambda_{o}] = \lambda_{sr}^{j} \\ \frac{o_{j}[\lambda_{o}]}{o_{j}[\lambda_{p}]} \cdot \sum_{\forall u: u < j} \end{cases}$$



$A_{uj} \cdot o_u [\lambda_o]^*, \quad n \le j < m$





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It can be consistent operators is dataflow from



omputed for all by traversing the n left to right **once**













 $o_3[\lambda_0] = 600 \text{ r/s}$











$$000 * \frac{2}{1000} = 4$$

$$500 * \frac{3}{2930} \approx 7.78 \rightarrow 8$$

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DS2 model properties target initial rate p₀ **p**₁ parallelism prediction initial rate target **p**₁ \mathbf{p}_0 parallelism

If operator scaling is **linear**, then:

- **no overshoot** when scaling up
- **no undershoot** when scaling down

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Ideal rates act as un upper bound when scaling up and as a lower bound when scaling down:

DS2 will **converge monotonically** to the target rate

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DS2 model properties



initial rate



p0



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DS2 model properties



initial rate



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DS2 model properties



initial rate





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Apache Flink



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DS2 scaling actions on Apache Flink wordcount







DS2 scaling actions on Apache Flink wordcount



Re-configuration requires state migration with correctness guarantees.

State migration





State migration strategies

- Stop-and-restart
 - halt the whole computation, take a state snapshot of all operators, restart \bullet
 - unnecessary stalls if only one or few operators need to be rescaled \bullet
- Partial pause and restart
 - only temporarily block the affected dataflow subgraph lacksquare
 - usually the operator to be scaled and upstream channels lacksquare
- All-at-once
 - move state to be migrated in one operation ullet
 - high latency during migration if the state is large \bullet
- Progressive
 - move state to be migrated in smaller pieces, e.g. key-by-key
 - can be used to interleave state transfer with processing
 - migration duration might increase







- State is **scoped** to a single task
 - Each stateful task is ulletresponsible for processing and state management







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All affected operators **block** until the reconfiguration is complete







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- **Intuition:** treat state migration as a dataflow operation and
 - interleave fine-grained state transfers with processing.











Helper operators, hidden from the application developer







Helper operators, hidden from the application developer







Helper operators, hidden from the application developer













Helper operators, hidden from the application developer







Helper operators, hidden from the application developer

control command

Helper operators have access to the downstream state







































control command

Helper operators can check the **frontier (watermark)** at the output of the stateful operator to **ensure only** complete state is migrated





Helpers buffer data that cannot yet be safely routed and configuration commands that cannot yet be applied

control command

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Can we apply this mechanism in Flink?

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- dataflows. (OSDI'18).
- migration for distributed streaming dataflows. (VLDB 2019).

Lecture references

Vasiliki Kalavri, John Liagouris, Moritz Hoffmann, Desislava Dimitrova, Matthew Forshaw, and Timothy Roscoe. Three steps is all you need: fast, accurate, automatic scaling decisions for distributed streaming

 Moritz Hoffmann, Andrea Lattuada, Frank McSherry, Vasiliki Kalavri, John Liagouris, Timothy Roscoe. Megaphone: Latency-conscious state

